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Docket No.

291599US0X PCT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE 35 JUN 2000

IN RE APPLICATION OF: Ulrike LICHT, et al.

SERIAL NO: New U.S. PCT Application Based on PCT/EP04/14327

GAU:

FILED:

Herewith

EXAMINER:

FOR:

PIGMENTS SHEATHED WITH POLYADDITION PRODUCTS, METHOD FOR THEIR PRODUCTION AND

USE THEREOF

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed
references are attached, where required, as are either statements of relevancy or any readily available English
translations of pertinent portions of any non-English language references.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be
related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies
of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the
attached PTO form 1449.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

Each item of information contained in this information disclosure statement was first cited in any communication
from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of
this statement.

□ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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IAP20 Rec'd PCT/PTO 16 JUN 2006

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THADEWARK OFFICE			APPLICANT								
LIST OF REFERENCES CITED BY APPLICANT			Ulrike LICHT, et al.								
				FILING DATE		GROUP					
				Herewith							
U.S. PATENT DOCUMENTS											
EXAMINER JNITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE				
	AA	2002/032242	03/14/02	ANTONIETTI et al.							
	AB	3 905 929	09/16/75	NOII							
	AC	3 920 598	11/18/75	REIFF et al.							
	AD	3 412 054	11/19/68	MILLIGAN, Charles L. et al.							
	AE	5 108 654	04/28/92	RAGAINI							
			FO	REIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY		TRANSLATION YES NO					
	AF	196 36 382	03/12/98	DE			NO				
	AG	521 429	04/15/72	CH (equivalent of US3 560 235)		-	NO				
	AH	02/50197	06/27/02	wo			NO				
	Al	0 622 378	09/24/97	EP (equivalent of US5 990 245)	***	_	NO				
	AJ	1 026 185	02/16/05	EP (equivalent of US6 376 637)		-	NO				
	AK	1 167 413	01/02/02	EP (equivalent of US6 632 914)		-	NO				
	AL	39 11 827	10/25/90	DE (equivalent of US5 135 963)			NO				
	AM	1 008 380	06/14/00	EP (equivalent of US6 530 684)			NO				
	AN	2 250 930	06/24/92	GB			NO				
	AO	197 56 874	06/24/99	DE (equivalent of US 6 515 030)	-		NO				
	AP 02/064657 08/22/02 WO (with English abstract & equivalent of US2004/0077777)		of		NO						
	AQ	0 392 352	09/21/94	EP (equivalent of US 5 135 963)			NO				
		OTHER RE	FERENCES (Including Author, Title, Date, Pertinent	Pages, et	tc.)	-				
	AR	TIARKS, Franca et al., No. 1, Pages 51-60, 20	" Encapsulation	on of Carbon Black by Miniemulsion Poly	merization'	", Macromo	ol. Chem. Phys., Vol. 202,				
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	AU	KENNEDY, J.P. et al.,	'Carbocationio	: Macromolecular Engineering", Hanser V	erlag, Pa	ge 177, 19	82.				
_	AV			hen Chemie, 4 edithion, Vol 19, Pages 3			=				
	AW	HOUBEN-WEYL, "Meth	oden der Org	anischen Chemie", Vol. XIV/1, Georg-Th	ieme-Verla	g Stutgart,	, Pages 192-208, 1961.				
	AX	HOUBEN-WEYL, "Meth	noden der Org	anischen Chemie", Vol. XIV/1, Georg-Th	ieme-Verla	g Stuttgar	t, Pages 411-420, 1961.				
	AY	BLANK, Werner J. et al Vol. 35, Pages 19-29, 1	., "Catalysis o 999.	f the isocyanate-hydroxyl reaction by non	-tin cataly:	sts", Progre	ess in Organic Coatings,				
	AZ	STATHER, F., "Gerbere	eichemie und	Gerbereitechnologie", Akademie Verlag E	Berlin, Pag	es 507-632	2, 1967.				
	AAA	ELVERS, Barbara et al 301, 1996.	., "Ulimann's E	ncyclopedia of Industrial Chemistry", Ver	rlag Chemi	ie, Vol. A26	5, 5 th edithion, Pages 286-				
	AAB SMITH, Brent et al., "Ink Jet Printing for Textiles", Text. Chem. Color, Vol. 19, No. 8, Pages 23-29, 1987.										
	AAC	GRAHAM, L.A. et al., "Ink Jet Systems for Dyeing and Printing of Textiles", Text. Chem. Color., Vol. 21, No. 6, Pages 27-32, 1989.									
	AAD	TIARKS, Franca et al., "One-Step preparation of Polyurethane Dispersions by Miniemulsion Polyaddition", Polym. Sci. Chem. Ed., Vol. 39, Pages 2520-2524, 2001. Additional References sheet(s) attached									
Examiner					Date Con	sidered					
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.											

New U.S. PCT Application Based on PCT/EP04/14327 P20 Rec'd PCT/PTO 16 JUN 2006 Ulrike LICHT, et al.

Docket No. 291599 US

STATEMENT OF RELEVANCY

- 1) References AA & AF AH have been cited in the International Search Report. A copy of these references is being submitted herewith.
- 2) References have been cited in the corresponding Search Report. A copy of these references is being submitted herewith.
- 3) References AB AE, AI AQ & AR AAD are discussed in the specification. A copy of these references is being submitted herewith.
- 4) References are additional prior art known to Applicant. A copy of these references is being submitted herewith.